



PROCESS NOTE

SEPTEMBER 29, 2022

API CHANGE PROCESS NOTE AS PER SEBI GUIDELINES

We refer to Communiqué number ‘CVL/OPS/INTERM/GENRL/22-146’ related to SEBI Circular no. **SEBI/HO/MIRSD/DoP/P/CIR/2022/46** dated April 6, 2022, regarding ‘Guidelines in pursuance of amendment to SEBI KYC Registration Agency (KRA) Regulations, 2011’. The Intermediaries are advised to note the following in accordance:

Following **Changes in API** have been made for incorporating the new process:

1) API Response/Download:

i. **<APP_PER_ADD_PROOF/>** and **<APP_COR_ADD_PROOF/>**

2 new tags are added to indicate the address proof ID type – Aadhaar based or non-Aadhaar based. Proof ID 31 indicates Aadhaar as address proof.

ii. **APP_STATUS** and **APP_UPDT_STATUS**

KRA Validated/ Modification Validated status code added as **07**. The details of KRA and corresponding status code is as follows:

APP_STATUS / APP_UPDT_STATUS	KRA NAME	KRA CODE
007	CVL KRA	CVLKRA
107	NDML KRA	NDML
207	DOTEX KRA	DOTEX
307	CAMS KRA	CAMS
407	KARVY KRA	KARVY
507	BSE KRA	BSE

iii. **New KRA – BSE** added as an additional KRA

iv. **<APP_KRA_CODE>**

This is now a mandatory tag to be passed for upload/modification.



PROCESS NOTE

2) Upload File Structure:

i. <APP_UPDTFLG>

This tag is mandatory. A new update type flag (status code 99) introduced to raise Interop Modification directly.

ii. <APP_KRA_CODE>

Indicates the KRA code for that KYC

iii. <APP_GEN>

New gender value 'Transgender' added.

iv. <APP_UID_NO>

UID / Aadhaar No of the applicant in case of Individuals only. Value will be mandatory for E-KYC Transactions. Only Last 4 Digit is acceptable

v. <APP_COR_ADD1>, <APP_COR_ADD2>, <APP_COR_ADD2>

Field length for corresponding address revised to maximum 55 characters.

vi. <APP_COR_ADD_REF>

Field made mandatory for both fresh and modification KYC

vii. <APP_PER_ADD1>, <APP_PER_ADD2>, <APP_PER_ADD3>

Field length for corresponding address revised to maximum 55 characters.

viii. <APP_NETWORK_DT>

Field has been made mandatory if data is passed in <APP_NETWRTH> tag.

ix. <APP_MOBILE_FLG>

Mandatory if opted for CVL OTP validation API

x. <APP_EMAIL_FLG>

Mandatory if opted for CVL OTP validation API



PROCESS NOTE

xi. <APP_OTP_REFNO>

Mandatory if opted for CVL OTP validation

xii. <APP_PERM_DISTRICT>

Pass District code from District Master

xiii. <APP_CORR_DISTRICT>

Pass District code from District Master

2. Process for raising KYC request using API

1) Run the PAN Inquiry API and get the KYC status, and raise KYC request as per below table:

Sr. No.	APP_STATUS flag in download when fetched	APP_UPDT_STATUS flag in download when fetched	Action by Intermediary	<APP_UPDTFLG> flag for upload in KRA	APP_KR A_CODE Flag value to be passed now mandatory for upload
1.	005 011 013 014	005 004/005 004/005 004/005	Raise Fresh/New KYC (for 011, 013 and 014 fetch the record and process under New KYC)	01	CVLKRA
2.	002 007	005/004/002/007 005/004/002/007	Raise KYC Modification	02 (with documents) 03 (without documents – for modification only of fields mentioned in point 12 of KRA Process Note)	CVLKRA



CDSL VENTURES LIMITED
 ...Exploring New Horizons

PROCESS NOTE

3.	001 003	004/005 004/005	Raise KYC Modification if <APP_ENTRYDT> or date older than 15 days from current date	02 (With documents)	CVLKRA
4.	022 012	004/005 004/005	Raise KYC Modification	02 (With documents)	CVLKRA
5.	002 007 001 003	001/003 001/003 001/003 001/003	Raise KYC Modification when APP_UPDT_STATUS Changes to 004 or 002 or 007 (within 15 days)	02 (With documents) 03 (without documents – for modification only of fields mentioned in point 12 of KRA Process Note)	CVLKRA
6.	107 /102 207 /202 307 /302 407 /402 507 /502	107/102/104/105 207/202/204/205 307/302/304/305 407/402/404/405 507/502/504/505	Fetch the data and raise InterOP KYC modification in KRA other than CVL	99	NDML DOTEX CAMS KARVY BSE
7.	101 /103 201 /203 301 /303 401 /403 501 /503	101/103/104/105 201/203/204/205 301/303/304/305 401/403/404/405 501/503/504/505	Fetch the data and raise InterOp KYC Modification when APP_UPDT_STATUS Changes to X04 or X02 or X07 (within 15 days) Modification cannot be raised without supporting documents.	99	NDML DOTEX CAMS KARVY BSE